

Scale vs Conformal invariance from holography

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Scale invariance
= Conformal invariance?

Scale = Conformal?

- QFTs and RG-groups are classified by scale invariant IR fixed point (*Wilson's philosophy*)
- Conformal invariance gave a (complete?) classification of *2D critical phenomena*
- But scale invariance does **not** imply conformal invariance???

In equations...

- Scale invariance

$$x^\mu \rightarrow \lambda x^\mu$$

→ Trace of energy-momentum (EM) tensor is a divergence of a so-called **Virial current**

$$T^\mu{}_\mu = \partial^\mu J_\mu \quad D_\mu = x_\nu T_\mu{}^\nu - J_\mu$$

- Conformal invariance

$$x^\mu \rightarrow \frac{x^\mu + a^\mu x^2}{1 + 2a^\mu x_\mu + a^2 x^2}$$

- EM tensor can be improved to be **traceless**

$$J_\mu = \partial^\nu L_{\mu\nu} \quad T^\mu{}_\mu \rightarrow \tilde{T}^\mu{}_\mu = 0$$

In today's talk

- I'll argue for the **equivalence** between scale and conformal from holographic viewpoint
- Importance of **full diffeomorphism**
- How to construct scale but non-conformal holographic models
- **Surprising trace anomaly** from holography

Part 1. From field theory

Maxwell theory in $d > 4$

- Scale invariance does **NOT** imply conformal invariance in $d > 4$ dimension.
- $(d > 4)$ **free Maxwell theory** is an example (Jackiw and Pi, El-Showk, Nakayama and Rychkov)
- It is an isolated example because one cannot introduce non-trivial interaction

Maxwell theory in $d > 4$

- EM tensor and Virial current

$$T_{\mu\nu} = F_{\mu\rho}F_{\nu}{}^{\rho} - \frac{g_{\mu\nu}}{4} (F_{\rho\sigma}F^{\rho\sigma})$$
$$T^{\mu}{}_{\mu} = \frac{4-d}{4} F_{\mu\nu}F^{\mu\nu} = \frac{4-d}{4} \partial_{\mu}(F^{\mu\rho}A_{\rho})$$

- Virial current $J^{\mu} = F^{\mu\rho}A_{\rho}$ is **not a derivative** so one cannot improve EM tensor to be traceless
- Dilatation current is not gauge invariant, but the **charge is gauge invariant**
- **Does not satisfy Ward identity for conformal inv**
- You may take a very specific gauge so that the gauge fixed action is conformal, but conformal symmetry does not commute with BRST

Zamolodchikov-Polchinski
theorem (1988):

A scale invariant field theory is
conformal invariant in $(1+1)$ d
when

1. It is unitary
2. It is Poincare invariant (causal)
3. It has a discrete spectrum
- (4). Scale invariant current exists

(1+1) d proof

$$\begin{aligned} F(x^2) &= z^4 \langle T(x)T(0) \rangle & T &\equiv T_{zz} , & \Theta &\equiv T^\mu{}_\mu \\ G(x^2) &= z^3 \bar{z} \langle \Theta(x)T(0) \rangle & & & & \\ H(x^2) &= z^2 \bar{z}^2 \langle \Theta(x)\Theta(0) \rangle . & & & \bar{\partial}T + 4\partial\Theta &= 0 \end{aligned}$$

According to Zamolodchikov, we define

$$\begin{aligned} C &= 2\left(F - \frac{1}{2}G - \frac{3}{16}H\right) \\ \dot{C} &\equiv x^2 \frac{d}{dx^2} C = -\frac{3}{4}H \leq 0 \quad \leftarrow \text{C-theorem!} \end{aligned}$$

At RG fixed point, $\dot{C} = 0$, which means $H = 0$

$$\rightarrow \langle \Theta(x)\Theta(0) \rangle = 0 \iff \Theta = 0$$

Reeh-Schlieder theorem

$$\langle \Theta(x)\Theta(0) \rangle = 0 \iff \Theta = 0$$

In CFT, this is true by state-operator correspondence. In generic QFT, it is due to the unitarity and [Reeh-Schlieder theorem](#).

Unitarity tells you

$$\Theta(x)|0\rangle = 0$$

We then use Reeh-Schlieder theorem

$$O(x)|0\rangle = 0 \iff O(x) = 0$$

Proof is not that elementary: we need to use microcausality, so it is intrinsic to relativistic field theory (in Schrodinger theory, it is not true).

Hofman-Strominger theorem(?) (2011):
A chiral scale invariant field theory is
chiral conformal invariant in (1+1) d when

1. It is unitary
2. It has a discrete spectrum
- (3). Symmetry currents exist

But presumably (??) without Lorentz inv

$$H (L_1) : t \rightarrow t + \epsilon_t \quad D (L_0) : t \rightarrow \lambda t, \quad x \rightarrow x$$

$$P (\bar{L}_1) : x \rightarrow x + \epsilon_x$$

Then chiral conformal (and actually chiral Virasoro) appears

$$K (L_{-1}) \quad t \rightarrow t + \epsilon t^2$$

Proof ??

$$\text{H P + locality} \rightarrow \partial_x T_{tx} + \partial_t T_{xx} = 0$$

$$\partial_x T_{tt} + \partial_t T_{xt} = 0$$

$$\text{D + locality} \rightarrow T_{xt} = \partial_t J_x + \partial_x J_t$$

$$D_t = tT_{tt} - J_t, D_x = tT_{xt} - J_x$$

One can remove J_t by **improvement**

$$T_{tt} \rightarrow \tilde{T}_{tt} = T_{tt} + \partial_t J_t$$

$$T_{xt} \rightarrow \tilde{T}_{xt} = T_{xt} - \partial_x J_t$$

To show chiral conformal invariance, we need

$$\partial_t J_x = 0 \text{ and it follows unitarity(?)}$$

$$\langle J_x(x, t) J_x(0) \rangle = f(x), \rightarrow \langle \partial_t J_x(x, t) \partial_t J_x(0) \rangle = 0$$

Reeh-Schlieder theorem ??

Symmetry determines $\langle \partial_t J_x(x, t) \partial_t J_x(0) \rangle = 0$
which means $\partial_t J_x |0\rangle = 0$ from unitarity

IF analogue of **Reeh-Schlieder theorem** were true,
then we could conclude $\partial_t J_x = 0$.

But with the given assumption alone, we **cannot**
prove the theorem... (due to the lack of micro-
causality in non-relativistic system)

Anyway, if this were overcome somehow, chiral
conformal current would be conserved

$$K_t = t^2 \tilde{T}_{tt} , \quad K_x = 0$$

In 4-dimension...??

- No proof or counterexample (yet)
- All (renormalizable) classically scale inv actions are classically conf inv
- No scale but non-conf fixed point at 1-loop (2-loop?)
- Listen to the afternoon talk
- Like in 2d, there is a deep connection with a-theorem

Unexpected trace anomaly

- Dimensional analysis (4d):

$$T^\mu{}_\mu = a(\text{Euler}) - c(\text{Weyl}^2)$$

$$+ bR^2 + b'\square R + e\epsilon^{\rho\sigma\alpha\beta} R_{\rho\sigma\mu\nu} R^{\mu\nu}{}_{\alpha\beta} + \text{non anomalous terms}$$

- Euler = $R^{\mu\nu\rho\sigma} R_{\mu\nu\rho\sigma} - 4R^{\mu\nu} R_{\mu\nu} + R^2$ is important in “a-theorem” (“a” decreases along RG flow)
- Hirzebruch-Pontryagin term is CP violating but can appear in CFT (in principle)
- R^2 is inconsistent(?) for CFTs but it can appear in scale but non-CFT
- We’ll see these unexpected terms from freakology (non-orthodox holography)

a-theorem and ε - conjecture

- conformal anomaly **a** in 4 dimension is **monotonically decreasing** along RG-flow
- Komargodski and Schwimmer gave physical proof in flow between CFTs
- The proof **does not apply** when the fixed points are **scale invariant but not conformal invariant**
- Technically, it is problematic when they argue that dilaton (compensator) decouples from the IR sector. We cannot circumvent it without assuming “scale = conformal”
- Looking forward to the complete proof in future

Part 2. Holgraphic proof

Holographic claim

Scale invariant field configuration
→ Automatically invariant under the
isometry of conformal transformation
(AdS space)

Can be shown from
Einstein eq + Null energy condition

Start from geometry

d+1 metric with d dim Poincare +
scale invariance automatically selects
AdS_{d+1} space

$$ds^2 = \frac{dz^2}{z^2} + f(z)dx_a^2$$

$$z \rightarrow \lambda z, \quad t \rightarrow \lambda t, \quad x \rightarrow \lambda x$$

$$ds^2 = \frac{dz^2 + dx_a^2}{z^2}$$

$$\delta x_a = 2(\epsilon^a x_b)x_a - (z^2 + x^b x_b)\epsilon_a, \quad \delta z = 2(\epsilon^b x_b)z$$

Chiral case

2+1 metric with 2 dim chiral scale invariance
automatically selects **warped** AdS₃ space

The most general ansatz

$$ds^2 = -a \frac{dt^2}{z^2} - 2b \frac{dt dx}{z} + c dx^2 + e \frac{dz^2}{z^2} + 2p \frac{dt dz}{z^2} + 2q \frac{dx dz}{z}$$

By coordinate change, it is warped AdS

$$ds^2 = \frac{1}{c} \left(\frac{b dt}{z} - c dx \right)^2 + \frac{e (dz)^2 - \left(a + \frac{b^2}{c} \right) dt^2}{z^2}$$

Remarkably it has **enhanced isometry**

$$\delta z = 2\epsilon \left(a + \frac{b^2}{c} \right) tz, \quad \delta t = \epsilon \left(a + \frac{b^2}{c} \right) t^2 + \epsilon e z^2$$

$$\delta x = \frac{2\epsilon b e}{c} \log z$$

Attention: space-time flipped Horava theory

Enhancement of “Isometry” requires $d+1$ diffeomorphism, so Horava theory which only preserves foliation preserving diff gives does not work.

$$ds^2 = \frac{dz^2 + dx_a^2}{z^2}$$

$$\delta x_a = 2(\epsilon^a x_b)x_a - (z^2 + x^b x_b)\epsilon_a, \quad \delta z = 2(\epsilon^b x_b)z$$

which is not foliation preserving diff

$$\delta N = \partial_r(Nf)$$

$$\delta N^\mu = \partial_r(N^\mu f) + \partial_r \xi^\mu + \mathcal{L}_\xi N^\mu$$

$$\delta g_{\mu\nu} = f\delta_r g_{\mu\nu} + \mathcal{L}_\xi g_{\mu\nu} .$$

Problem with Lorentz breaking

Non-trivial matter configuration may break AdS isometry

Example 1: non-trivial vector field

$$A = A_\mu dx^\mu = \frac{adz}{z}$$

Example 2: non-trivial d-1 form field

$$B = b \frac{dx_1 \cdots dx_d}{z^d}$$

But such a non-trivial configuration
violates **Null Energy Condition**

Null energy condition: $R_{\mu\nu}k^\mu k^\nu \geq 0$, $k^\mu k_\mu = 0$

(Ex)
$$L = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + m^2 A_\mu A^\mu + \lambda(A_\mu A^\mu)^2$$

$$R_{zz} + R_{tt} = (m^2 + 2\lambda a^2)a^2 = 0$$

Basically, Null Energy Condition demands m^2 and λ
are positive (= stability) and it shows $a = 0$

More generically, strict null energy condition is sufficient to show scale = conformal from holography

Null energy condition: $R_{\mu\nu}k^\mu k^\nu \geq 0$, $k^\mu k_\mu = 0$

strict null energy condition claims the equality holds if and only if the field configuration is trivial

- The trivial field configuration means that fields are invariant under the isometry group, which means that when the metric is AdS, the matter must be AdS isometric

On the assumptions

- Poincare invariance
 - Explicitly assumed in metric
- Discreteness of the spectrum
 - Number of fields in gravity are numerable
- Unitarity
 - Deeply related to null energy condition.
E.g. null energy condition gives a sufficient condition on the area non-decreasing theorem of black holes.

On the assumptions: strict NEC

- In black hole holography
 - NEC is a sufficient condition to prove **area non-decreasing theorem** for black hole horizon
 - Black hole entropy is **monotonically increasing**
- What does **strict null energy condition** mean?
 - Nothing non-trivial happens when the black hole entropy stays the same
- No information encoded in “zero-energy state”
- **Holographic c-theorem** is derived from the null energy condition

Freakolography and trace anomaly

- Consider space-time flipped **Horava gravity** whose dual is scale but non-conformal

$$ds^2 = N^2 dr^2 + G_{\mu\nu} (dx^\mu + N^\mu dr)(dx^\nu + N^\nu dr)$$

$$S = \int N dr \sqrt{-G} d^d x (K^{\mu\nu} K_{\mu\nu} - \lambda K^2 + R + \Lambda) .$$

- Compute holographic trace anomaly

$$\begin{aligned} \langle T^\mu_\mu \rangle &= -2c \left(R_{\mu\nu} R^{\mu\nu} - \frac{\lambda}{4\lambda - 1} R^2 \right) \\ &= c \left((\text{Euler} - \text{Weyl}^2) - \frac{2}{3} \frac{\lambda - 1}{4\lambda - 1} R^2 \right) . \end{aligned}$$

- Cannot be conformal
- **CP-odd term** can appear with modification of the action with Levi-Civita tensor

What we learned from holography

- Full space-time diff is tightly related to the emergence of conformal invariance
- It is possible to construct scale but non-conf geometry at the sacrifice of full space-time diff (spontaneous Lorentz symmetry breaking, Horava-like gravity...)
- Do they make sense? (violation of NEC, unitarity?...) Theorem in $d=2$?
- No holography? Or Freakoholography?

Summary

- Scale = Conformal invariance ?
- Holography suggests the equivalence (but what happens in $d > 4$?)
- Relation to c-theorem?
- Chiral scale vs conformal invariance
- Direct proof ? Counterexample ?