

# 40 years of the Weyl anomaly

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# Abstract

- Classically, Weyl invariance

$$S(g, \phi) = S(g', \phi')$$

under

$$g'_{\mu\nu}(x) = \Omega(x)^2 g_{\mu\nu}(x) \quad \phi' = \Omega(x)^\alpha \phi$$

implies

$$g^{\mu\nu} T_{\mu\nu} = 0$$

- But in the quantum theory

$$g^{\mu\nu} \langle T_{\mu\nu} \rangle \neq 0$$

Over the period 1973-2012 this Weyl anomaly has found a variety of applications in quantum gravity, black hole physics, inflationary cosmology, string theory and statistical mechanics.

## Recall flat space ancestry

- For spaces admitting conformal Killing vectors  $\xi_{\mu}^i(x)$

$$\nabla_{\mu}\xi_{\nu}^i + \nabla_{\nu}\xi_{\mu}^i = \frac{2}{D}g_{\mu\nu}\nabla^{\rho}\xi_{\rho}^i$$

there is a classically conserved current

$$J^{i\nu} = \xi_{\mu}^i T^{\mu\nu}$$

- For example  $SO(D, 2)$  in flat Minkowski space
- But anomaly resides in the divergence of the dilatation current

$$\nabla_{\nu} \langle J^{i\nu} \rangle = \frac{1}{D} \nabla^{\rho} \xi_{\rho}^i g^{\mu\nu} \langle T_{\mu\nu} \rangle \neq 0$$

Coleman and Jackiw 1970

- **1973**  
Discovery of the Weyl anomaly using dimensional regularization  
Capper and Duff

- **1975**  
Supermultiplet of anomalies  
Ferrara and Zumino

- **1976**

Non-local effective lagrangian for trace anomalies

Deser, Duff and Isham

Zeta functions, heat kernels and anomalies

Christensen

Dowker

Hawking

# The heat kernel

- The one-loop effective action is given by

$$S_A = \ln[\det\Delta]^{-1/2}$$

where  $\Delta$  is a conformally invariant d-dimensional operator. Its kernel  $F(x, y, \rho)$  obeys the heat equation

$$\frac{\partial}{\partial\rho} F(x, y, \rho) + \Delta F(x, y, \rho) = 0$$

with the initial conditions

$$F(x, y, 0) = \delta(x, y)$$

# The heat kernel

- One can express  $F$  as

$$\begin{aligned} F(x, y, \rho) &= \sum_n e^{-\rho\Delta} \phi_n(x) \phi_n(y) \\ &= \sum_n e^{-\rho\lambda_n} \phi_n(x) \phi_n(y) \end{aligned}$$

where  $\phi_n$  are the eigenfunctions of  $\Delta$  with eigenvalues  $\lambda_n$ :

$$\Delta\phi_n = \lambda_n\phi_n$$

normalized according to

$$\int d^d x \sqrt{g(x)} \phi_n(x) \phi_m(x) = \delta_{mn}$$



## $b_4$ coefficients

- The action may thus be written as

$$S_A = \int d\rho d^d x \rho^{-1} \sqrt{g}(x) A(x, \rho)$$

where  $A(x, \rho) = F(x, x, \rho)$ .  $A(x, \rho)$  obeys an asymptotic expansion, valid for small  $\rho$ ,

$$A(x, \rho) \sim \sum_n B_n(x) \rho^{n - \frac{d}{2}}$$

where

$$B_n = \int d^d x \sqrt{g} b_n(x) \quad (1)$$

# Zeta functions

- The **Schwinger-DeWitt** coefficients  $b_n$  are scalar polynomials, which are of order  $n$  in derivatives of the metric. In  $d = 4$ , for example, when  $\Delta$  is the conformally invariant Laplacian acting on scalars:

$$\Delta = -\square + \frac{1}{6}R$$

$$b_4 = \frac{1}{2880\pi^2} [R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma} - R_{\mu\nu}R^{\mu\nu} + 30\square R]$$

- Furthermore,

$$B_4 = n_0 + \zeta(0)$$

where  $n_0$  is the number of zero modes and

$$\zeta(s) = \sum_n \lambda_n^{-s}$$

is defined only over the non-zero eigenvalues of  $\Delta$ .

- **1977**

CFTs and the  $a$  and  $c$  coefficients

Duff

Trace anomalies and the Hawking effect

Christensen and Fulling

- Weyl anomalies appear in their most pristine form when CFTs are coupled to an external gravitational field. In this case

$$\mathcal{A} = g^{\mu\nu} \langle T_{\mu\nu} \rangle = \frac{1}{(4\pi)^2} (cF - aG)$$

where  $F$  is the square of the Weyl tensor:

$$F = C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 2R_{\mu\nu} R^{\mu\nu} + \frac{1}{3}R^2,$$

$G$  is proportional to the Euler density:

$$G = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2,$$

- Note no  $R^2$  term.
- We ignore  $\square R$  terms whose coefficient can be adjusted to any value by adding the finite counterterm

$$\int d^4x \sqrt{g} R^2.$$

## Central charges $c$ and $a$

- In the CFT  $a$  and  $c$  are the central charges given in terms of the field content by

$$\bar{a} \equiv 720a = 2N_0 + 11N_{1/2} + 124N_1$$

$$\bar{c} \equiv 720c = 6N_0 + 18N_{1/2} + 72N_1$$

where  $N_s$  are the number of fields of spin  $s$ .

- In the notation of [Duff 1977](#)

$$(4\pi)^2 b = c \quad (4\pi)^2 b' = -a$$

# Euler number

- When  $F - G$  vanishes, anomaly reduces to

$$\mathcal{A} = A \frac{1}{32\pi^2} R^{*\mu\nu\rho\sigma} R^*_{\mu\nu\rho\sigma}$$

where

$$360A = \bar{c} - \bar{a} = 4N_0 + 7N_{1/2} - 52N_1$$

so that in Euclidean signature

$$\int d^4x \sqrt{g} g^{\mu\nu} T_{\mu\nu} = A\chi(M^4)$$

where  $\chi(M^4)$  is the Euler number of spacetime.

- **1978**  
Conformal (and axial) anomalies for arbitrary spin  
**Christensen and Duff**

## Arbitrary spin

- Calculate  $b_4$  for arbitrary  $(n, m)$  reps of Lorentz group, then physical anomaly given by

$$A = A(n, m) + A(n - 1, m - 1) - 2A(n - 1/2, m - 1/2)$$

so in total

$$A_{total} = 4N_0 + 7N_{1/2} - 52N_1 - 233N_{3/2} + 848N_2$$

where  $N_s$  are the number of fields of spin  $s$ .

- The  $b_4$  coefficient for chiral reps  $(1/2, 0)$   $(1, 0)$  etc also involve  $R^*R$  unless we add  $(0, 1/2)$   $(0, 1)$  etc



- **1980**

Anomaly-driven inflation

Starobinsky

Vilenkin

$p$ -forms and inequivalent anomalies

Duff and van Nieuwenhuizen

Grisaru et al

Siegel

The path-integral approach to anomalies

Fujikawa

Bastianelli and van Nieuwenhuizen

- **1981**  
Critical dimensions for bosonic and super strings  
Polyakov

# Bosonic string

- In the first quantized theory of the bosonic string, one starts with a Euclidean functional integral

$$e^{-\Gamma} = \int \frac{D\gamma DX}{\text{Vol}(\text{Diff})} e^{-S[\gamma, X]}$$

where

$$S[\gamma, X] = \frac{1}{4\pi\alpha'} \int d^2\xi \sqrt{\gamma} \gamma^{ij} \partial_i X^\mu \partial_j X^\nu \eta_{\mu\nu}$$

- As shown by **Polyakov**, the Weyl anomaly in the worldsheet stress tensor is given by

$$\gamma^{ij} \langle T_{ij} \rangle = \frac{1}{24\pi} (D - 26) R(\gamma)$$

$D$  is the contribution of the scalars while the  $-26$  arises from the diffeomorphism ghosts that must be introduced into the functional integral.

# Fermionic string

- In the case of the fermionic string, the result is

$$\gamma^{ij} \langle T_{ij} \rangle = \frac{1}{16\pi} (D - 10) R(\gamma)$$

- Thus the critical dimensions  $D = 26$  and  $D = 10$  correspond to the preservation of the two dimensional Weyl invariance  $\gamma_{ij} \rightarrow \Omega^2(\xi)\gamma_{ij}$ .

- **1983**
  - Conformal anomaly and W-Z consistency (no  $R^2$ )
  - Bonora et al
  - Anomaly in conformal supergravity
  - Fradkin and Tseytlin

- **1984**  
Local version of effective action  
**Riegert**

# Local action

- Conformal operators

$$\sqrt{g}\Delta_d = \sqrt{g'}\Delta'_d$$

$$\Delta_2 = \square$$

$$\Delta_4 \equiv \square^2 + 2R^{\mu\nu}\nabla_\mu\nabla_\nu + \frac{1}{3}(\nabla^\mu R)\nabla_\mu - \frac{2}{3}R\square$$

Riegert

- Subsequent work by  
Antoniadis, Mazur and Mottola
- Local action

$$S_{anom} = \frac{b}{2} \int d^4x \sqrt{g} F \phi - \frac{b'}{2} \int d^4x \sqrt{g} [\phi \Delta_4 \phi - (G - \frac{2}{3} \square R) \phi]$$

- **1985**  
Spacetime Einstein equations from vanishing worldsheet anomalies  
**Callan et al**



- **1986**  
The  $c$ -theorem  
Zamolodchikov

- **1988**

$c$ -theorem and/or  $a$ -theorem in four dimensions?

Cardy

Osborn

Capelli et al

Shore

Shapere

Antoniadis et al

- **1993**  
Geometric classification of conformal anomalies in arbitrary dimensions  
**Deser and Schwimmer**

- **1998**

The holographic Weyl anomaly

Henningson and Skenderis

Graham and Witten

Imbimbo et al

Einstein manifolds and the  $a$  and  $c$  coefficients

Gubser

# Holography

- A distinguished coordinate system, boundary at  $\rho = 0$

$$G_{MN}dx^M dx^N = \frac{L_{d+1}^2}{4} \rho^{-2} d\rho d\rho + \rho^{-1} g_{\mu\nu} dx^\mu dx^\nu$$

- The effective action may be written

$$S_B = \int d\rho d^d x \rho^{-1} \sqrt{g}(x) B(x, \rho)$$

where the specific form of  $B(x, \rho)$  depends on initial action.

$$B(x, \rho) \sim \sum_n b_n(x) \rho^{n - \frac{d}{2}}$$

- Formal similarity with **Schwinger-DeWitt** coefficients, indeed  $\mathcal{A} \sim b_4$  same for N=4 Yang-Mills but not in general.

- **2000**

Anomaly-driven inflation revived

Hawking et al

$a$  and  $c$  and corrections to Newton's law

Duff and Liu

Anomalies and entropy bounds

Nojiri et al

## Corrections to Newton's law

- In his 1972 PhD thesis under Abdus Salam, the author calculated one-loop CFT corrections to Newton's law (Schwarzschild solution)

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{\alpha G_4}{r^2} \right),$$

where  $G_4$  is the four-dimensional Newton's constant,  $\hbar = c = 1$  and  $\alpha$  is a purely numerical coefficient, soon recognized as the  $c$  coefficient in the Weyl anomaly

$$\alpha = \frac{8}{3\pi} c$$

## N=4 Yang-Mills

- A particularly important example of a CFT is provided by  $\mathcal{N} = 4$  super Yang-Mills with gauge group  $U(N)$ , for which

$$(N_1, N_{1/2}, N_0) = (N^2, 4N^2, 6N^2)$$

Then

$$a = c = \frac{N^2}{4}$$

and hence

$$\mathcal{A} = \frac{c}{(4\pi)^2} \left( 2R_{\mu\nu}R^{\mu\nu} - \frac{2}{3}R^2 \right) = \frac{N^2}{32\pi^2} \left( R_{\mu\nu}R^{\mu\nu} - \frac{1}{3}R^2 \right)$$

- The contribution of a single  $\mathcal{N} = 4$   $U(N)$  Yang-Mills CFT is

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{2N^2 G_4}{3\pi r^2} \right).$$



# Randall-Sundrum

- Now fast-forward to 1999 when **Randall and Sundrum** proposed that our four-dimensional world is a 3-brane embedded in an infinite five-dimensional universe. They showed that there is an  $r^{-3}$  correction coming from the massive Kaluza-Klein modes

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{2L_5^2}{3r^2} \right).$$

where  $L_5$  is the radius of  $\text{AdS}_5$ .

- Superficially, our 4D quantum correction seems unrelated to their 5D classical one.
- But through the miracle of AdS/CFT

$$N^2 = \frac{\pi L_5^3}{2G_5} \quad G_4 = \frac{2G_5}{L_5}$$

the two are in fact equivalent. **Duff and Liu**

- **2001**
  - $a$  and  $c$  and the graviton mass  
Dilkes et al
  - Weyl cohomology revisited  
Mazur and Mottola

- **2005**  
Anomalies as an infra-red diagnostic; IR free or interacting?  
**Intriligator**

- **2006**  
Macroscopic effects of the quantum trace anomaly  
**Mottola et al**

- **2007**  
Anomalies and the hierarchy problem  
Meissner

- **2008**
  - Viscosity bounds  
[Buchel et al](#)
  - Conformal collider physics  
[Hofman and Maldacena](#)
  - Weyl invariance and mass  
[Waldron et al](#)

- **2009**

Entanglement Entropy

Nishioka

Log corrections to black hole entropy

Cai

Solodukin

Sen et al

- **2010**

Holographic c-theorems in arbitrary dimensions

Myers et al

Generalized mirror symmetry and trace anomalies

Duff and Ferrara



- **2011**
  - Models for particle physics
  - 't Hooft
  - Renormalization group and Weyl anomalies
  - Percacci

# M-theory on $X^7$

- We consider compactification of ( $\mathcal{N} = 1, D = 11$ ) supergravity on a 7-manifold  $X^7$  with betti numbers  $(b_0, b_1, b_2, b_3, b_3, b_2, b_1, b_0)$  and define a generalized mirror symmetry

$$(b_0, b_1, b_2, b_3) \rightarrow (b_0, b_1, b_2 - \rho/2, b_3 + \rho/2)$$

under which

$$\rho(X^7) \equiv 7b_0 - 5b_1 + 3b_2 - b_3$$

changes sign

$$\rho \rightarrow -\rho$$

- The massless sectors of these compactifications have

$$f = 4(b_0 + b_1 + b_2 + b_3)$$

degrees of freedom.

- Generalized self-mirror theories are defined to be those for which  $\rho = 0$

# M-theory on $X^7$

- In backgrounds for which  $F - G$  vanishes, the Weyl anomaly reduces to

$$T = A \frac{1}{32\pi^2} R^{*\mu\nu\rho\sigma} R^*_{\mu\nu\rho\sigma} \quad (2)$$

where

$$A = 2(c - a) \quad (3)$$

so that in Euclidean signature

$$\int d^4x \sqrt{g} T = A \chi(M^4) \quad (4)$$

where  $\chi(M^4)$  is the Euler number of spacetime.

# Anomalies

	<i>Field</i>	<i>f</i>	$\Delta A$	$360A$	$360A'$	$X^7$
$g_{MN}$	$g_{\mu\nu}$	2	-3	848	-232	$b_0$
	$A_\mu$	2	0	-52	-52	$b_1$
	$A$	1	0	4	4	$-b_1 + b_3$
$\psi_M$	$\psi_\mu$	2	1	-233	127	$b_0 + b_1$
	$\chi$	2	0	7	7	$b_2 + b_3$
	$A_{MNP}$	$A_{\mu\nu\rho}$	0	2	-720	0
	$A_{\mu\nu}$	1	-1	364	4	$b_1$
	$A_\mu$	2	0	-52	-52	$b_2$
	$A$	1	0	4	4	$b_3$

*total*  $\Delta A$

0

*total*  $A$

$-\rho/24$

*total*  $A'$

$-\rho/24$

# Vanish without a trace!

- Remarkably, we find that the anomalous trace depends on  $\rho$

$$A = -\frac{1}{24}\rho(X^7)$$

So the anomaly flips sign under generalized mirror symmetry and vanishes for generalized self-mirror theories. For  $X^{(8-\mathcal{N})} \times T^{(\mathcal{N}-1)}$  with  $\mathcal{N} \geq 3$  the anomaly vanishes identically.

Duff and Ferrara

- Equally remarkable is that we get the same answer for the total trace using the numbers of [Grisaru et al.](#)

# Four curious supergravities

- Of particular interest are the four cases

$$(b_0, b_1, b_2, b_3) = (1, \mathcal{N} - 1, 3\mathcal{N} - 3, 4\mathcal{N} + 3)$$

with  $\mathcal{N} = 1, 2, 4, 8$ , namely the four “curious” supergravities, discussed in [Duff and Ferrara](#) which enjoy some remarkable properties.

$\mathcal{N} = 1$ , 7 WZ multiplets,  $f = 32$ ,

$\mathcal{N} = 2$ , 3 vector multiplets, 4 hypermultiplets,  $f = 64$ ,

$\mathcal{N} = 4$ , 6 vector multiplets,  $f = 128$ ,

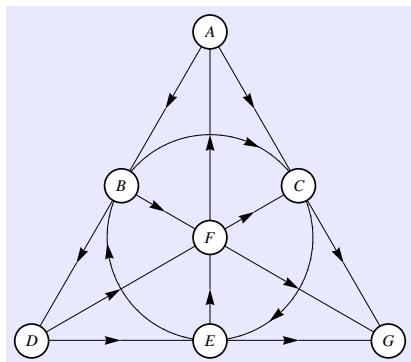
$\mathcal{N} = 8$ ,  $f = 256$ .

# O, H, C R theories

<i>Field</i>	360A	<b>O</b>	<b>H</b>	<b>C</b>	<b>R</b>
$g_{\mu\nu}$	848	1	1	1	1
$B_\mu$	-52	7	6	0	0
<b>S</b>	4	28	16	10	7
$\psi_\mu$	-233	8	4	2	1
$\chi$	7	56	28	14	7
$A_{\mu\nu\rho}$	-720	1	1	1	1
$A_{\mu\nu}$	364	7	3	1	0
$A_\mu$	-52	21	6	4	0
<b>A</b>	4	35	19	11	7
		$A = 0$	$A = 0$	$A = 0$	$A = 0$

**Table:** Vanishing anomaly in **O, H, C R** theories.

# Fano plane



**Figure:** The Fano plane has seven points and seven lines (the circle counts as a line) with three points on every line and three lines through every point. The truncation from 7 lines to 3 to 1 to 0 corresponds to the truncation from  $N=8$  to  $N=4$  to  $N=2$  to  $N=1$ .



## Type IIA

- In the case of  $(\mathcal{N} = 1, D = 11)$  on  $X^6 \times S^1$ , or equivalently (Type IIA, D=10) on  $X^6$ ,

$$A = -\frac{1}{24}\chi(X^6)$$

and so in Euclidean signature

$$\int d^4x \sqrt{g} g_{\mu\nu} \langle T^{\mu\nu} \rangle = -\frac{1}{24}\chi(M^4)\chi(X^6) = -\frac{1}{24}\chi(M^{10})$$

where  $\chi(M^4)$  is the Euler number of spacetime.

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